

PERSONAL STATEMENT FATCA/ CRS - INDIVIDUALS

Name:
Surname:
Tax ID
Date of birth
Birthplace (Country):
Citizenship:
Residence (Country):

a) the Bank is required to identify and report to the Romanian Tax Authority (and from this to the US tax authority - IRS) US Persons (1) that hold financial assets in Romania and that configure as account holders of specific accounts with the Bank (e.g. current accounts, bankbooks, custody accounts, prepaid cards, repurchase agreements, derivatives, certificates of deposit); by reporting to the Tax Authority, the Bank is required to disclose the information defined under provisions of Article no. 113 and no.115 of OUG no.99/2006 regarding credit institutions and capital adequacy as concerning banking secrecy and confidentiality and General Business Conditions of the Bank;
b) the Bank is not required to report individuals, although US Persons, that act as registered dealers in securities, commodities, or notional principal contracts or as brokers (as defined by US Law)
c) the Client is required to provide her/his Country of fiscal residence and all the other information included in this Self-Certification;
d) in the event that the Client declares not to be a US fiscal resident but any US Indicia arise from documents and information owned by the Bank, the Client is required to provide additional information and/or documents that the Bank may require to verify the declaration provided by the Client;
e) if the Client refuses to provide the above-mentioned declaration and/or the required information and/or documents, the Bank is required to (i) not open the account, or close the existing accounts; and/or (ii) report the Client as a US Person if any US Indicia occur.

The Client, having read the above disclosure

1) declares

to be a US fiscal resident ("Specified US Person") Yes No

to be a registered dealer or broker ("other than specified US Person") Yes No

and, in case of US Fiscal Residence, provides:

Tax Identification Number (TIN):

to have a Fiscal Residence in one or more of the following Countries

Country - 1

providing their Tax ID, if available in the Country of residence

Tax ID: Tax ID unavailable

Country - 2

providing their Tax ID, if available in the Country of residence

Tax ID: Tax ID unavailable

Have you obtained residence rights through a "CBI/RBI" scheme?

No Yes

Citizenship by Investment (CBI) and Residency by Investment (RBI) schemes are offered by a significant number of jurisdictions and allow foreign individuals to obtain citizenship or temporary or permanent residency rights based on local investment or a flat fee.

Do you hold residence rights in any other jurisdiction(s)?

No Yes

Have you spent more than 90 days in any other jurisdiction(s) during the previous year?

No Yes

In which jurisdiction(s) did you file income tax returns during the previous year?

2) undertakes to:

- a) communicate to the Bank any change of Fiscal Residence or of any other information contained in this document within 30 days (including the Tax ID, if available in the Country of residence); and
- b) provide any integrative information that may be requested.

3) assures that the declarations and information provided in this Self-Certification are true, as well as assures the authenticity of any related documents provided to the Bank.

4) declares the Bank exempt from any interest, penalties and any other charges that may be applied by the U.S. and/or the Romanian tax authorities as a consequence of untrue or incomplete information and documentation provided by the Client to the Bank, or as a consequence of the Client's failure to comply with any of the above-mentioned commitments

5) the information contained in this personal statement and the attached integrative documentation, if any, may be shared with other Legal Entities of the Intesa Sanpaolo Group.

Date

Signature

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Bank's section

Bank

Branch

NOTE

(1) Individuals are considered as US Persons if they meet at least one of the following requirements:

- US citizenship;
- US residence.